

Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.

C6B
SUMMIT EQUITIES, INC.
4 CAMPUS DRIVE
PARSIPPANY, NJ 07054-0413

SEC Rule 606 Quarterly Report for the Quarter Ending December 31, 2011

Securities Listed on New York Stock Exchange

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	91.24%
Limit orders as percentage of total non-directed orders	8.76%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, LLC	35.75%
2. UBS Securities, LLC	21.92%
3. Citadel Securities LLC	18.89%
4. Citigroup Global Markets, Inc.	8.23%
5. National Financial Services LLC	6.91%
6. Goldman, Sachs & Co.	2.37%
7. Direct Edge Exchange	2.04%
8. Two Sigma Securities, LLC	1.91%
9. BATS Exchange, Inc.	0.72%
10. AlterNet Securities Inc.	0.46%

Information Concerning Significant Venues :

1. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	34.85%
Limit orders as percentage of total limit orders	45.11%
Other orders as percentage of total other orders	0.00%

2. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	23.02%
Limit orders as percentage of total limit orders	10.53%
Other orders as percentage of total other orders	0.00%

3. Citadel Securities LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	20.27%
	Limit orders as percentage of total limit orders	4.51%
	Other orders as percentage of total other orders	0.00%
4. Citigroup Global Markets, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	8.87%
	Limit orders as percentage of total limit orders	1.50%
	Other orders as percentage of total other orders	0.00%
5. National Financial Services LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	7.22%
	Limit orders as percentage of total limit orders	3.76%
	Other orders as percentage of total other orders	0.00%
6. Goldman, Sachs & Co.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.60%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
7. Direct Edge Exchange		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	23.31%
	Other orders as percentage of total other orders	0.00%
8. Two Sigma Securities, LLC		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	2.09%
	Limit orders as percentage of total limit orders	0.00%
	Other orders as percentage of total other orders	0.00%
9. BATS Exchange, Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.00%
	Limit orders as percentage of total limit orders	8.27%
	Other orders as percentage of total other orders	0.00%
10. AlterNet Securities Inc.		
	Types of Orders Routed to Venue :	
	Market orders as percentage of total market orders	0.36%
	Limit orders as percentage of total limit orders	1.50%
	Other orders as percentage of total other orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	93.22%
Limit orders as percentage of total non-directed orders	6.78%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Equity Markets, L.P.	40.00%
2. Citadel Securities LLC	18.96%
3. UBS Securities, LLC	16.70%
4. National Financial Services LLC	8.70%
5. Citigroup Global Markets, Inc.	7.13%
6. Goldman, Sachs & Co.	2.61%
7. Direct Edge Exchange	2.09%
8. Two Sigma Securities, LLC	1.22%
9. AlterNet Securities Inc.	0.70%
10. Morgan Stanley & Co. Incorporated	0.70%

Information Concerning Significant Venues :

1. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	42.91%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

2. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.28%
Limit orders as percentage of total limit orders	28.21%
Other orders as percentage of total other orders	0.00%

3. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.98%
Limit orders as percentage of total limit orders	12.82%
Other orders as percentage of total other orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.58%
Limit orders as percentage of total limit orders	10.26%
Other orders as percentage of total other orders	0.00%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.65%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.80%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	30.77%
Other orders as percentage of total other orders	0.00%

8. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.31%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.75%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

10. Morgan Stanley & Co. Incorporated

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.75%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Securities Listed on NYSE Amex or Regional Exchanges

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	95.70%
Limit orders as percentage of total non-directed orders	4.26%
Other orders as percentage of total non-directed orders	0.04%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Citadel Securities LLC	37.32%
2. Knight Capital Markets, LLC	26.98%
3. UBS Securities, LLC	22.77%
4. National Financial Services LLC	9.15%
5. Citigroup Global Markets, Inc.	1.19%
6. Goldman, Sachs & Co.	0.85%
7. Two Sigma Securities, LLC	0.68%
8. Direct Edge Exchange	0.51%
9. BATS Exchange, Inc.	0.21%
10. AlterNet Securities Inc.	0.13%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	38.46%
Limit orders as percentage of total limit orders	12.00%
Other orders as percentage of total other orders	0.00%

2. Knight Capital Markets, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	26.90%
Limit orders as percentage of total limit orders	28.00%
Other orders as percentage of total other orders	100.00%

3. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.68%
Limit orders as percentage of total limit orders	25.00%
Other orders as percentage of total other orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	8.98%
Limit orders as percentage of total limit orders	13.00%
Other orders as percentage of total other orders	0.00%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.16%
Limit orders as percentage of total limit orders	2.00%
Other orders as percentage of total other orders	0.00%

6. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.89%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.71%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Direct Edge Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	12.00%
Other orders as percentage of total other orders	0.00%

9. BATS Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	5.00%
Other orders as percentage of total other orders	0.00%

10. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.13%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

Exchange - Listed Options

Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	71.43%
Limit orders as percentage of total non-directed orders	28.57%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NYSE Amex Options	28.57%
2. NYSE Arca Options	28.57%
3. The Chicago Board Options Stock Exchange	14.29%
4. The Boston Option Exchange	7.14%
5. The International Securities Exchange	7.14%
6. Nasdaq Execution Services, LLC	7.14%
7. NASDAQ OMX PHLX	7.14%

Information Concerning Significant Venues :

1. NYSE Amex Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	40.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

2. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.00%
Limit orders as percentage of total limit orders	75.00%
Other orders as percentage of total other orders	0.00%

3. The Chicago Board Options Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

4. The Boston Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. The International Securities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Nasdaq Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	25.00%
Other orders as percentage of total other orders	0.00%

7. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%