

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**C6B**  
**SUMMIT EQUITIES, INC.**  
**4 CAMPUS DRIVE**  
**PARSIPPANY, NJ 07054-0413**

**SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2010**

**Securities Listed on New York Stock Exchange**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	86.47%
Limit orders as percentage of total non-directed orders	6.40%
Other orders as percentage of total non-directed orders	7.13%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Knight Capital Markets, L.L.C.	39.96%
2. Citadel Derivatives Group LLC	18.37%
3. UBS Securities, L.L.C.	17.59%
4. National Financial Services, L.L.C.	12.90%
5. Citigroup Global Markets, Inc.	5.15%
6. Automated Trading Desk Financial Services, L.L.C.	4.21%
7. Goldman, Sachs & Co.	1.25%
8. Surge Trading, Inc.	0.16%
9. BATS Trading, Inc.	0.10%
10. Direct Edge ECN L.L.C.	0.10%

**Information Concerning Significant Venues :**

**1. Knight Capital Markets, L.L.C.**

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	36.46%
Limit orders as percentage of total limit orders	27.64%
Other orders as percentage of total other orders	93.43%

**2. Citadel Derivatives Group LLC**

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.82%
Limit orders as percentage of total limit orders	5.69%
Other orders as percentage of total other orders	0.00%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.65%
Limit orders as percentage of total limit orders	19.51%
Other orders as percentage of total other orders	2.92%

4. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.80%
Limit orders as percentage of total limit orders	1.63%
Other orders as percentage of total other orders	0.00%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.96%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.50%
Limit orders as percentage of total limit orders	41.46%
Other orders as percentage of total other orders	3.65%

7. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.44%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Surge Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.18%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. BATS Trading, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.63%
Other orders as percentage of total other orders	0.00%

10. Direct Edge ECN L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.63%
Other orders as percentage of total other orders	0.00%

**Securities Listed on The Nasdaq Stock Market**

**Summary Statistics :**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	94.25%
Limit orders as percentage of total non-directed orders	3.91%
Other orders as percentage of total non-directed orders	1.84%

**Venues Receiving Significant Percentage of Total Non-Directed Orders :**

1. Citadel Derivatives Group LLC	29.66%
2. Knight Equity Markets, L.P.	23.45%
3. UBS Securities, L.L.C.	20.92%
4. National Financial Services, L.L.C.	15.86%
5. Citigroup Global Markets, Inc.	7.36%
6. Goldman, Sachs & Co.	2.07%
7. Automated Trading Desk Financial Services, L.L.C.	0.69%

**Information Concerning Significant Venues :**

1. Citadel Derivatives Group LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.22%
Limit orders as percentage of total limit orders	5.88%
Other orders as percentage of total other orders	0.00%

2. Knight Equity Markets, L.P.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.24%
Limit orders as percentage of total limit orders	82.35%
Other orders as percentage of total other orders	62.50%

3. UBS Securities, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	20.98%
Limit orders as percentage of total limit orders	11.76%
Other orders as percentage of total other orders	37.50%

4. National Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.83%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

5. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	7.80%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.20%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. Automated Trading Desk Financial Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.73%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on NYSE Amex or Regional Exchanges

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	79.43%
Limit orders as percentage of total non-directed orders	0.88%
Other orders as percentage of total non-directed orders	19.69%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. Knight Capital Markets, L.L.C.	33.97%
2. National Financial Services, L.L.C.	24.23%
3. Citadel Derivatives Group LLC	20.72%
4. UBS Securities, L.L.C.	15.52%
5. Automated Trading Desk Financial Services, L.L.C.	3.59%
6. Citigroup Global Markets, Inc.	1.76%
7. AlterNet Securities Inc.	0.07%
8. Goldman, Sachs & Co.	0.07%
9. Instinet L.L.C.	0.07%

### Information Concerning Significant Venues :

#### 1. Knight Capital Markets, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.76%
Limit orders as percentage of total limit orders	25.00%
Other orders as percentage of total other orders	79.55%

#### 2. National Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	30.51%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 3. Citadel Derivatives Group LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	25.81%
Limit orders as percentage of total limit orders	25.00%
Other orders as percentage of total other orders	0.00%

#### 4. UBS Securities, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	14.29%
Limit orders as percentage of total limit orders	50.00%
Other orders as percentage of total other orders	18.96%

#### 5. Automated Trading Desk Financial Services, L.L.C.

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	4.15%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	1.49%

6. Citigroup Global Markets, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.21%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. AlterNet Securities Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.09%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

8. Goldman, Sachs & Co.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.09%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

9. Instinet L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.09%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics :

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	95.00%
Limit orders as percentage of total non-directed orders	5.00%
Other orders as percentage of total non-directed orders	0.00%

### Venues Receiving Significant Percentage of Total Non-Directed Orders :

1. NYSE Amex Options	35.00%
2. The Chicago Board Options Exchange	30.00%
3. The International Securities Exchange	10.00%
4. Other	10.00%
5. The Boston Option Exchange	5.00%
6. Nasdaq Execution Services, L.L.C.	5.00%
7. NASDAQ OMX PHLX	5.00%

### Information Concerning Significant Venues :

#### 1. NYSE Amex Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.58%
Limit orders as percentage of total limit orders	100.00%
Other orders as percentage of total other orders	0.00%

#### 2. The Chicago Board Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	31.58%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 3. The International Securities Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.53%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 4. Other

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	10.53%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

#### 5. The Boston Option Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

6. Nasdaq Execution Services, L.L.C.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

7. NASDAQ OMX PHLX

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.26%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%